



## Navigate Regulatory Changes with Confidence



The Best-Run Businesses Run SAP™

## Quickly assess and accommodate new and changed regulations

# Quickly assess and accommodate new and changed regulations

Regulations are simply a part of doing business in today's complex, global economy, but staying current with regulatory changes and ensuring organizational compliance is anything but simple. By taking a holistic, proactive approach to compliance, organizations like yours can [assess and respond to changes in regulations without missing a beat](#).

Companies in highly regulated industries deal with a wide range of industry, market, and regulatory mandates that change continually and can vary greatly in their requirements. Regulations have a direct impact on processes throughout the organization, from legal and finance to compliance and IT. Written and enforced by a variety of bodies – local, state, national, and international – regulations can be repetitive or contradictory, and the systems used to propagate regulations and changes vary.

With a unified solution, your organization can capture, assess, and respond to regulatory changes quickly and accurately. You can accelerate compliance with new and changing mandates, reduce duplicate efforts, and enable continuous compliance across the enterprise.



# Centralize your regulatory change management processes

## Centralize your regulatory change management processes

Assess and respond to regulatory changes with confidence

Accelerate compliance with new and changing mandates

Expand control automation across various domains

The SAP® Regulation Management application by Greenlight allows you to confidently assess and respond to regulatory changes, accelerate compliance with new mandates, and expand controls automation across the enterprise. The application enables you to establish accountability and share best practices across key stakeholders, standardize disparate regulatory requirements, and demonstrate end-to-end compliance.

SAP Regulation Management integrates with both the SAP Process Control application to extend controls automation and the SAP Access Control application to centralize access governance processes across enterprise systems.

With SAP Regulation Management, you can take a holistic approach to managing regulatory changes, and integrate with internal controls processes so that compliance becomes a by-product of operational best practices.

SAP Regulation Management scales to meet current and future needs so your organization can act with confidence in compliance activities and processes.



# Assess and respond to regulatory changes with confidence

Centralize your regulatory change management processes

## **Assess and respond to regulatory changes with confidence**

Accelerate compliance with new and changing mandates

Expand control automation across various domains

With SAP Regulation Management, you can centrally manage and report on regulatory requirements and prioritize the impact of changes to the business. The application acts as a single authoritative source for alerts and requirements intake, eliminating silos and enabling standardization across regulatory mandates.

Collaborative workflow and decision-making functionality allow you to establish accountability among stakeholders and involve the right people at the right time when reviewing source documents and regulatory mandates.

Business users in your organization can interact and provide feedback, share best practices, and define, manage, and review citations, requirements, and controls at any time. Version control and delta change management functionality helps ensure that your business users are working with the latest applicable version of regulations and content.

The application provides enhanced visibility and enables your organization to take a holistic approach to managing and reporting on regulatory and compliance requirements from start to finish.

Holistically manage and report on regulatory requirements with SAP Regulation Management.



# Accelerate compliance with new and changing mandates

Centralize your regulatory change management processes

Assess and respond to regulatory changes with confidence

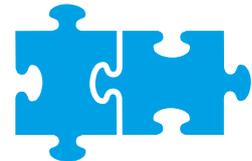
## **Accelerate compliance with new and changing mandates**

Expand control automation across various domains

Change is the one constant when it comes to today's regulatory environment. SAP Regulation Management enables you to align regulatory requirements with internal control activities and operational execution to speed compliance with new and changing mandates. Interactive requirement-to-control mapping functionality allows you to quickly identify and address gaps in meeting new compliance requirements.

You can take advantage of existing controls and eliminate control redundancies. When regulatory gaps are identified, you can propose new controls for evaluation. The application integrates with SAP Process Control for controls management, evaluation, and issue resolution. Prebuilt dashboards and reports provide management a centralized view of compliance across regulations and allow you to demonstrate a regulatory compliance process for auditability.

Demonstrate enterprise regulatory compliance by integrating SAP Regulation Management with SAP Process Control.



# Expand control automation across various domains

Centralize your regulatory change management processes

Assess and respond to regulatory changes with confidence

Accelerate compliance with new and changing mandates

## **Expand control automation across various domains**

With SAP Regulation Management, you can automate operational execution, compliance testing, and audit reporting of controls across your enterprise systems. By integrating with other SAP solutions for governance, risk, and compliance (GRC), you can unify compliance processes and reduce the cost and complexity of achieving your compliance objectives.

SAP Regulation Management integrates with SAP Process Control to automate controls testing and to extend continuous monitoring of controls effectiveness across operational, financial, and IT systems.

SAP Regulation Management also integrates with SAP Access Control, enabling centralized access governance and providing insights into the financial impact of access risk to make better, more proactive decisions about access control.

Extend controls automation and access governance functionality across enterprise systems, and make the most of your current IT investments.



## See how your business benefits

### See how your business benefits

SAP Regulation Management provides the key for your organization to navigate regulatory changes with confidence. The application centralizes the disparate landscape of regulatory requirements, facilitates collaborative decision-making processes between stakeholders, and integrates operational and internal controls processes so that compliance becomes a by-product of controls best practices.

With SAP Regulation Management, you can centralize and standardize compliance across business applications and IT systems for better control, efficient execution, and streamlined processes. You can prioritize and focus on issues with optimal business and compliance impacts. Moreover, the application enables holistic management and reporting on regulatory and compliance requirements. With this comprehensive functionality, SAP Regulation Management scales to meet your organization's needs now and in the future.

Make regulatory compliance a by-product of operational excellence using SAP solutions for GRC.





---

## Objectives

---

### Summary

The SAP® Regulation Management application by Greenlight lets you confidently assess and respond to regulatory changes, accelerate compliance with new and changing mandates, and expand controls automation across systems. With the flexible, scalable application, you can take an enterprise-wide approach to compliance and establish accountability, unify regulatory requirements across key stakeholders, and align requirements with internal control activities and operations.

---

### Objectives

- Establish accountability and unify regulatory requirements across key stakeholders
- Align regulatory requirements with internal control activities and operations
- Automate execution and testing of controls across enterprise systems

---

## Solution

---

## Benefits

---

## Quick Facts

---

### Solution

- Single authoritative source for regulatory alerts and requirements intake
- Identification of compliance gaps in meeting new or changed regulatory requirements
- Interactive regulation-to-control mapping
- Integration with SAP Access Control and SAP Process Control for centralized access governance and extended controls automation

---

### Benefits

- Centrally manage and report on regulatory and compliance requirements across the organization
- Enable auditability for enterprise regulatory compliance processes
- Reduce cost and risk of control redundancy

---

### Learn more

To find out more, call your SAP representative today, or visit us online at [www.sap.com/regulation-management](http://www.sap.com/regulation-management).



© 2014 SAP AG or an SAP affiliate company. All rights reserved.

No part of this publication may be reproduced or transmitted in any form or for any purpose without the express permission of SAP AG or an SAP affiliate company.

SAP and other SAP products and services mentioned herein as well as their respective logos are trademarks or registered trademarks of SAP AG (or an SAP affiliate company) in Germany and other countries. Please see <http://www.sap.com/corporate-en/legal/copyright/index.epx#trademark> for additional trademark information and notices. Some software products marketed by SAP AG and its distributors contain proprietary software components of other software vendors.

National product specifications may vary.

These materials are provided by SAP AG or an SAP affiliate company for informational purposes only, without representation or warranty of any kind, and SAP AG or its affiliated companies shall not be liable for errors or omissions with respect to the materials. The only warranties for SAP AG or SAP affiliate company products and services are those that are set forth in the express warranty statements accompanying such products and services, if any. Nothing herein should be construed as constituting an additional warranty.

In particular, SAP AG or its affiliated companies have no obligation to pursue any course of business outlined in this document or any related presentation, or to develop or release any functionality mentioned therein. This document, or any related presentation, and SAP AG's or its affiliated companies' strategy and possible future developments, products, and/or platform directions and functionality are all subject to change and may be changed by SAP AG or its affiliated companies at any time for any reason without notice. The information in this document is not a commitment, promise, or legal obligation to deliver any material, code, or functionality. All forward-looking statements are subject to various risks and uncertainties that could cause actual results to differ materially from expectations. Readers are cautioned not to place undue reliance on these forward-looking statements, which speak only as of their dates, and they should not be relied upon in making purchasing decisions.



The Best-Run Businesses Run SAP™